

# FREQUENTLY ASKED QUESTIONS

## ARE YOU A LOAD OR NO-LOAD FUND FAMILY?

Driehaus Mutual Funds is a no-load fund family. There are no sales charges or 12b-1 fees.

## WHERE CAN I GET NAV (NET ASSET VALUE) INFORMATION ABOUT YOUR FUNDS?

You can view NAV information on our fund performance page. Many other financial websites also provide our daily NAV information, including Schwab.com, Fidelity.com and TDAmeritrade.com.

## HOW CAN I TRANSFER ASSETS OR ROLLOVER MY IRA TO A NEW TRADITIONAL OR ROTH IRA?

You can transfer existing IRA assets into a new IRA account by completing the Driehaus Mutual Funds IRA application and the Transfer of Assets Form, attached to the IRA kit. You must check the “transfer of assets” box. This gives the transfer agent for the Fund(s) permission to request your assets from your current IRA custodian. A transfer of assets takes four to six weeks to complete. If you want to rollover assets from an employer sponsored plan or 403(b) plan, mark “direct rollover,” not “transfer of assets” on the transfer form.

If you care to request an indirect rollover of your IRA assets from your current custodian, your IRA custodian will deliver a check to you. You have 60-days to complete the rollover. Remember you are only allowed one rollover across all IRA's that you own in a 365-day period. You must then forward the assets to the appropriate transfer agent(s) for the Fund(s) to invest in your Driehaus Mutual Funds IRA account.

## WHAT IS THE DIFFERENCE BETWEEN A TRANSFER OF ASSETS AND A ROLLOVER?

With a transfer of assets, your IRA assets are sent directly between custodians as a non-reportable transfer. There are no time constraints when you transfer assets directly between IRA custodians.

With an indirect rollover, your existing IRA custodian issues a check to you (this can only be done once a year). To avoid income tax and a penalty tax on the rollover amount, you have 60 days from the date you receive your check to invest the assets in another IRA custodial account.

## HOW DO I WIRE MONEY TO OPEN A NEW ACCOUNT?

To wire money when opening an account directly with Driehaus Mutual Funds, mark the appropriate section of the account application and return the application to the address noted in the application. A representative from Shareholder Services will contact you with an account number and further instructions to initiate the wire transfer to your new account. For questions relating to the Driehaus Mutual Funds, please contact Shareholder Services.

Neither Driehaus Mutual Funds nor its transfer agents charge a fee to accept money wired into your account. Check with your bank for any wire fees it may charge.

### Northern Trust Wire instructions:

The Northern Trust Co  
50 S. LaSalle St.  
Chicago, IL 60607  
ABA #071000152  
Account #: 5201683100  
Shareholder Name:  
Shareholder Account #DRH1083AAAAAAAA  
\*AAAAAAA is your account number

## I DECLINED THE TELEPHONE PRIVILEGES WHEN MY ACCOUNT WAS ORIGINALLY OPENED. NOW I WANT TO ADD THAT OPTION. WHAT DO I DO?

Current investors should complete the appropriate Optional Account Services form to add this option to their account.

## HOW DO I CHANGE THE MAILING ADDRESS ON MY ACCOUNT?

Address changes must be requested by the shareholder in a letter that includes a signature guarantee. In your letter, you must include your account number and clearly label what information has changed. Send the letter to the appropriate transfer agent.

## WHAT IS A MEDALLION SIGNATURE GUARANTEE AND WHERE DO I GET ONE?

Driehaus Mutual Funds requires a medallion signature guarantee on certain transactions and requests in order to receive assurance from the guaranteeing entity (bank, broker, etc.) that the signature(s) are genuine. This protects shareholders from unauthorized account activity. You can obtain a medallion signature guarantee at any domestic bank, trust company, savings association, or any broker, dealer, or clearing agency that participates in a medallion program recognized by the Securities Transfer Association.

## CAN I EXCHANGE FROM ONE DRIEHAUS MUTUAL FUND TO ANOTHER?

Yes, except for the Driehaus Active Income Fund, Driehaus Multi-Asset Growth Economies Fund and the Driehaus Event Driven Fund (see below), you may redeem shares of one Driehaus Mutual Fund and use the proceeds to purchase shares in another Driehaus Mutual Fund.

The Driehaus Active Income Fund, Driehaus Multi-Asset Growth Economies Fund and the Driehaus Event Driven Fund are only exchangeable with each other. These are taxable transactions if the money is not invested in an IRA or other qualified plan.

## IS THERE A PENALTY FOR REDEEMING MY FUND SHARES?

Shares redeemed within 60 days of purchase, are assessed a 2% redemption fee on the amount redeemed. There are no redemption fees for the Driehaus Active Income Fund and the Driehaus Event Driven Fund.

*Foreside Financial Services, LLC, Placement Agent*



**DRIEHAUS**  
CAPITAL MANAGEMENT