

## DRIEHAUS CAPITAL MANAGEMENT LLC

Driehaus Event Driven Fund Portfolio  
as of 9/30/2018

### Security Name

ACER THERAPEUTICS IN	COM
ACLARIS THERAPEUTICS	COM
ADVERUM BIOTECH INC	
ALLAKOS INC	COM
ALTICE USA INC	CL A
ARGENX SE	SPONSORED ADR
ARRAY BIOPHARMA INC	COM
ATARA BIOTHERAPEUTIC	COM
AVAYA HLDGS CORP	COM
AVROBIO INC	COM
BLUEPRINT MEDICINES	COM
BUILDERS FIRSTSOURCE	COM
CLEMENTIA PHARMACEUT	COM
CONSOLIDATED COMMUNI	6.5000% 10/01/22
DECIPHERA PHARMACEUT	COM
EURO CURRENCY	
FATE THERAPEUTICS IN	COM
FGL HOLDINGS	
FOSSIL GROUP INC	TERM LOAN 12/31/2020
GENERAL MTRS CORP	DEB SR CV C ESCROW
GENERAL MTRS CORP	DEB SR CONV B ESCROW
GETTY IMAGES	BANK LOAN 10/18/2019
GETTY IMAGES INC	7.00% 10/15/2020
GOLDEN ENTERTAINMEN	TERM LOAN 10/20/2025
HC2 HLDGS INC	11.0000% 12/01/19
HC2 HOLDINGS INC	
HISTOGENICS CORP	COM
HUNTSMAN CORP	
IDEAYA BIOSCIENCES,	INC. PRIVATE EQUITY
INSPIRE MED SYS INC	COM
INTRICON CORP	COM
IRIDEX CORP	COM
ISHARES NASDAQ	BIOTECHNOLOGY ETF
KEARNY FINL CORP	COM
LOXO ONCOLOGY INC	COM
MARVELL TECHNOLOGY	GROUP LTD
MIRATI THERAPEUTICS	COM
NATERA INC	COM
NUVECTRA CORP	COM
OCEANFIRST FINL CORP	COM
ORCHARD THERAPEUTICS	PRIVATE EQUITY
PERSPECTA INC	COM
PRINCIPIA BIOPHARMA	COM
QUANTA SERVICES INC	
RENT-A-CENTER INC	

ROCKWELL COLLINS	INC.
SESEN BIO INC	COM
SIENTRA INC	COM
SPDR S&P REGIONAL	BANKING ETF
SPDR SERIES TRUST	S&P BIOTECH
SUTRO BIOPHARMA INC	COM
USB REALTY CORP	VAR RT PERPETUAL
UTX 01/18/19 C135	UNITED TECHNOLOGIES
WATERSTONE FINANCIAL	COM
XPO LOGISTICS INC	

Source: Driehaus Capital Management LLC

The portfolio represents holdings for the Driehaus Event Driven Fund (the "Fund") as of the specified date. The Fund has an obligation to update or supplement the holdings to reflect subsequent changes. The holdings posted may not represent future portfolio composition or holdings and are subject to change without notice. Information on particular holdings may not be in the Fund's best interest to do so. The holdings are unaudited and are provided for general information on the Fund. For more information please contact us at 877-779-0079. For information regarding the Fund's policy for valuation of investments and significant accounting policies, please refer to the Fund's recent Semi-Annual or Annual financial statements.



assumes no  
it current or  
ay be withheld if  
ind. For more  
s and other